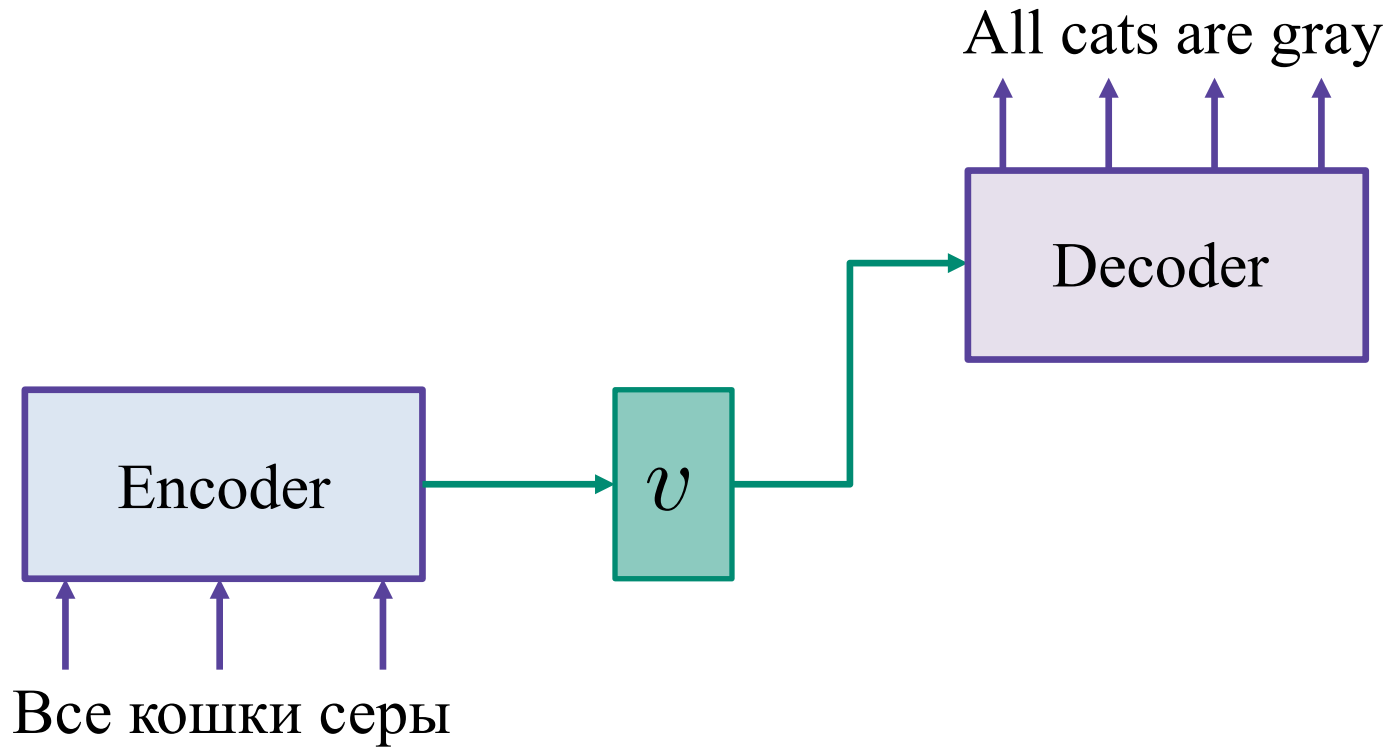
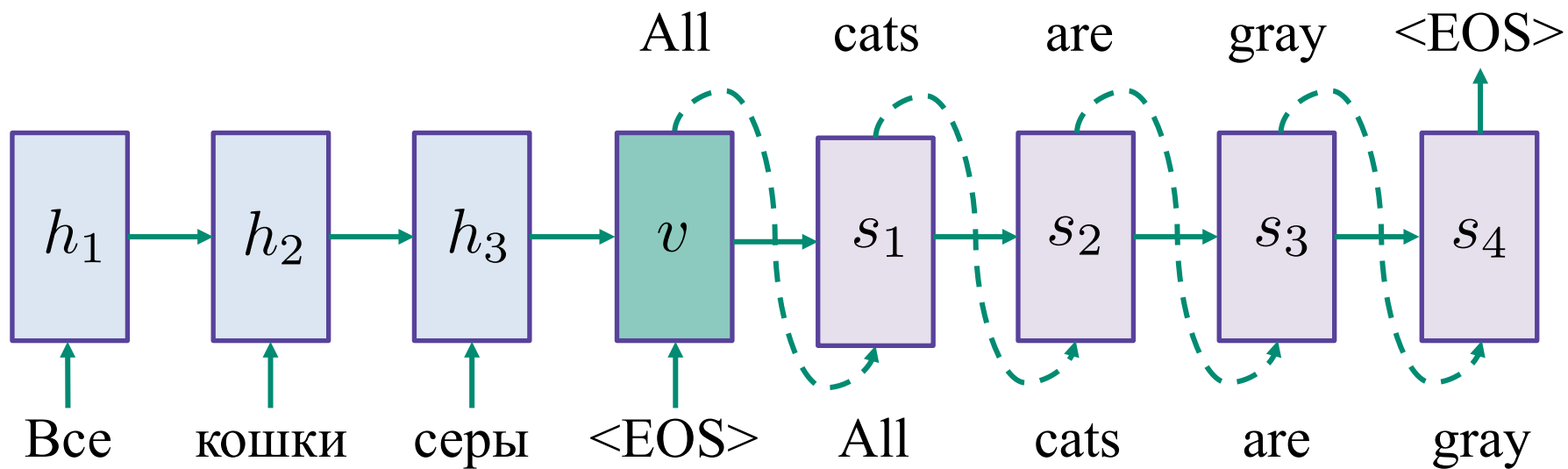


Encoder-decoder architecture

Sequence to sequence



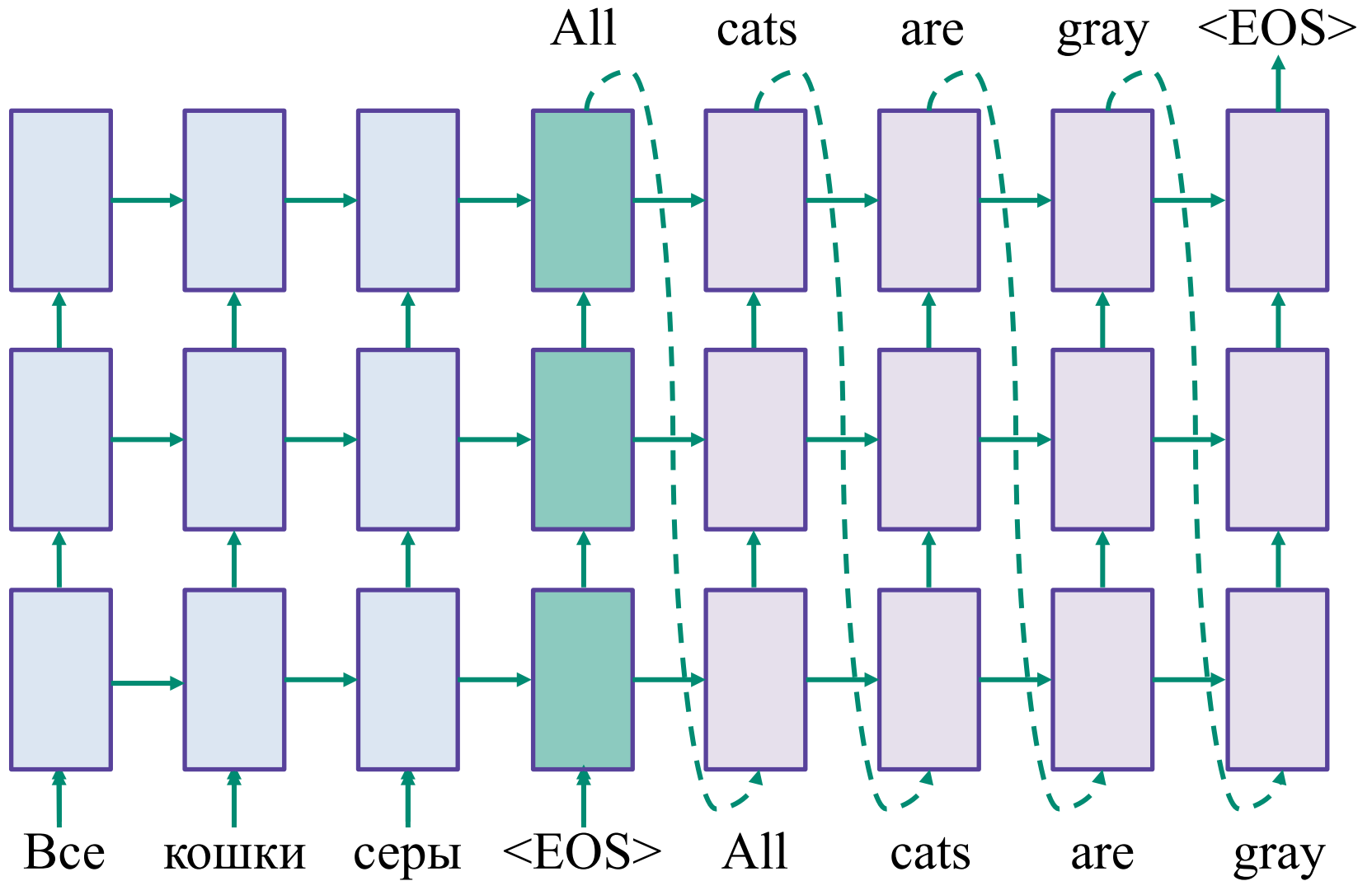
Sequence to sequence



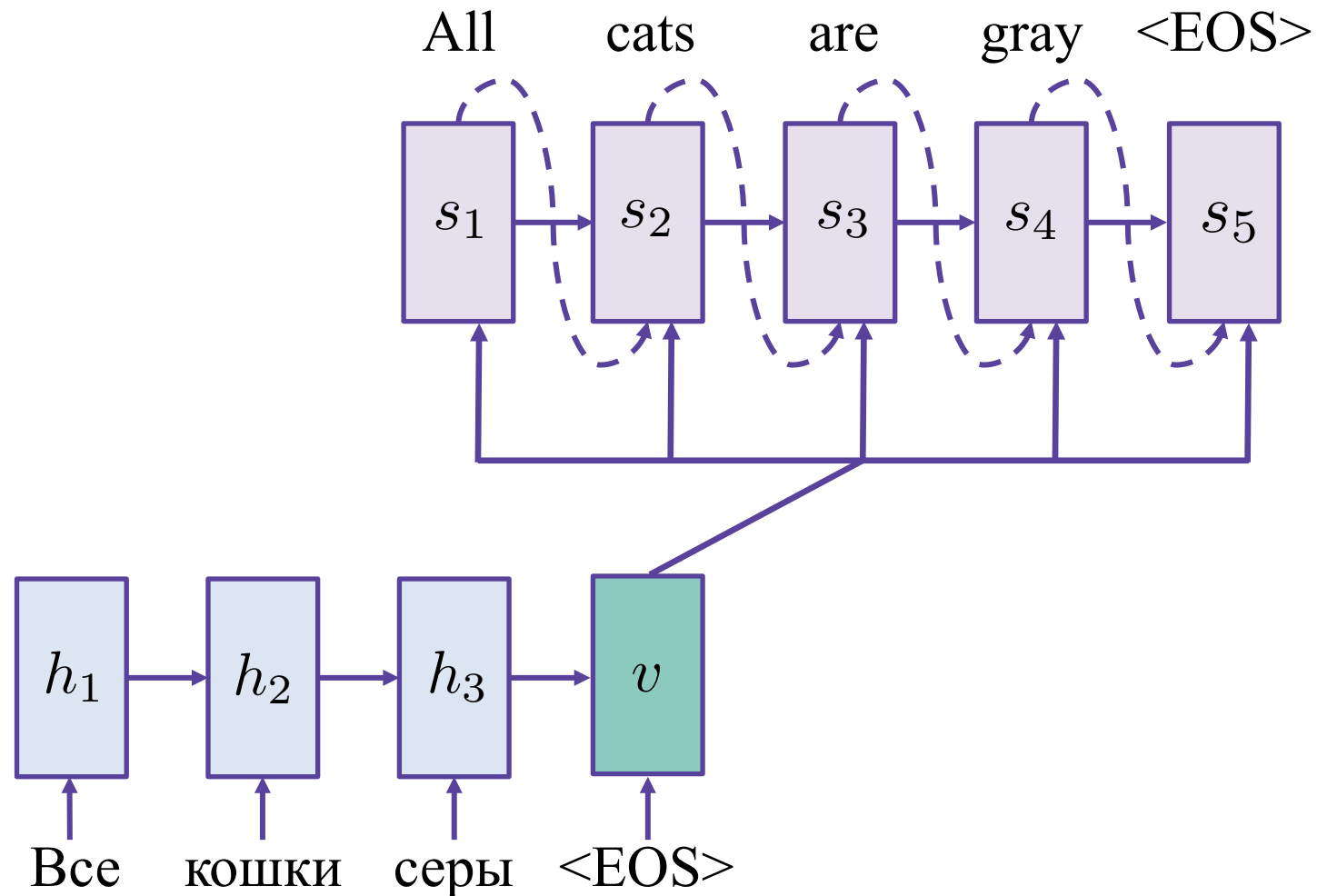
Ilya Sutskever, Oriol Vinyals, Quoc V. Le. Sequence to Sequence Learning with Neural Network, 2014.

Anna Potapenko (HSE), Anastasia Ianina (MIPT)

Sequence to sequence



Sequence to sequence



Cho et. al. Learning Phrase Representations using RNN Encoder-Decoder for Statistical Machine Translation, 2014.

Anna Potapenko (HSE), Anastasia Ianina (MIPT)

Sequence to sequence

$$p(y_1, \dots, y_J | x_1, \dots, x_I) = \prod_{j=1}^J p(y_j | v, y_1, \dots, y_{j-1})$$

- **Encoder:** maps the source sequence to the hidden vector

$$\text{RNN: } h_i = f(h_{i-1}, x_i) \quad v = h_I$$

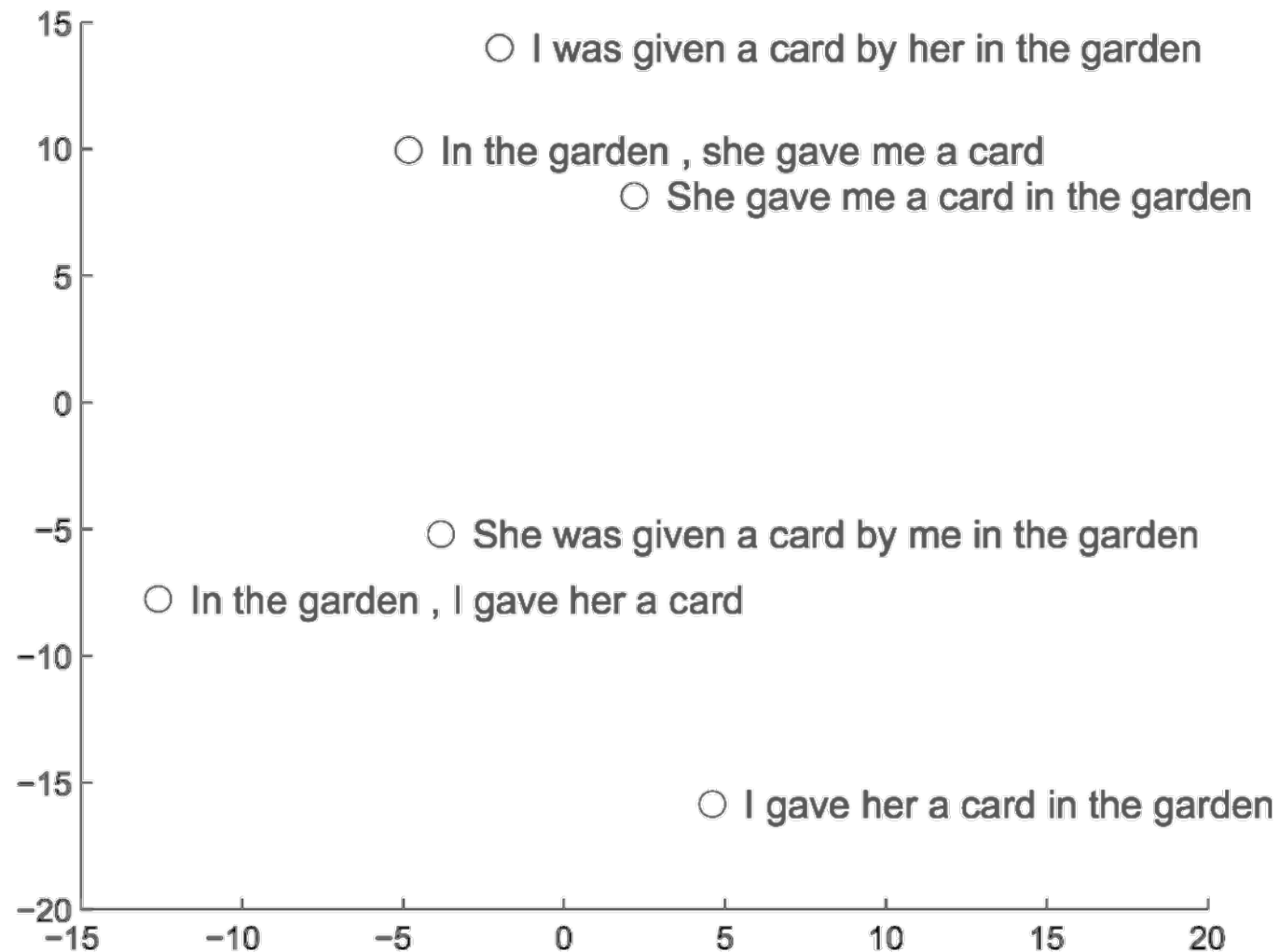
- **Decoder:** performs language modeling given this vector

$$\text{RNN: } s_j = g(s_{j-1}, [y_{j-1}, v])$$

- **Prediction** (the simplest way):

$$p(y_j | v, y_1, \dots, y_{j-1}) = \text{softmax}(U s_j + b)$$

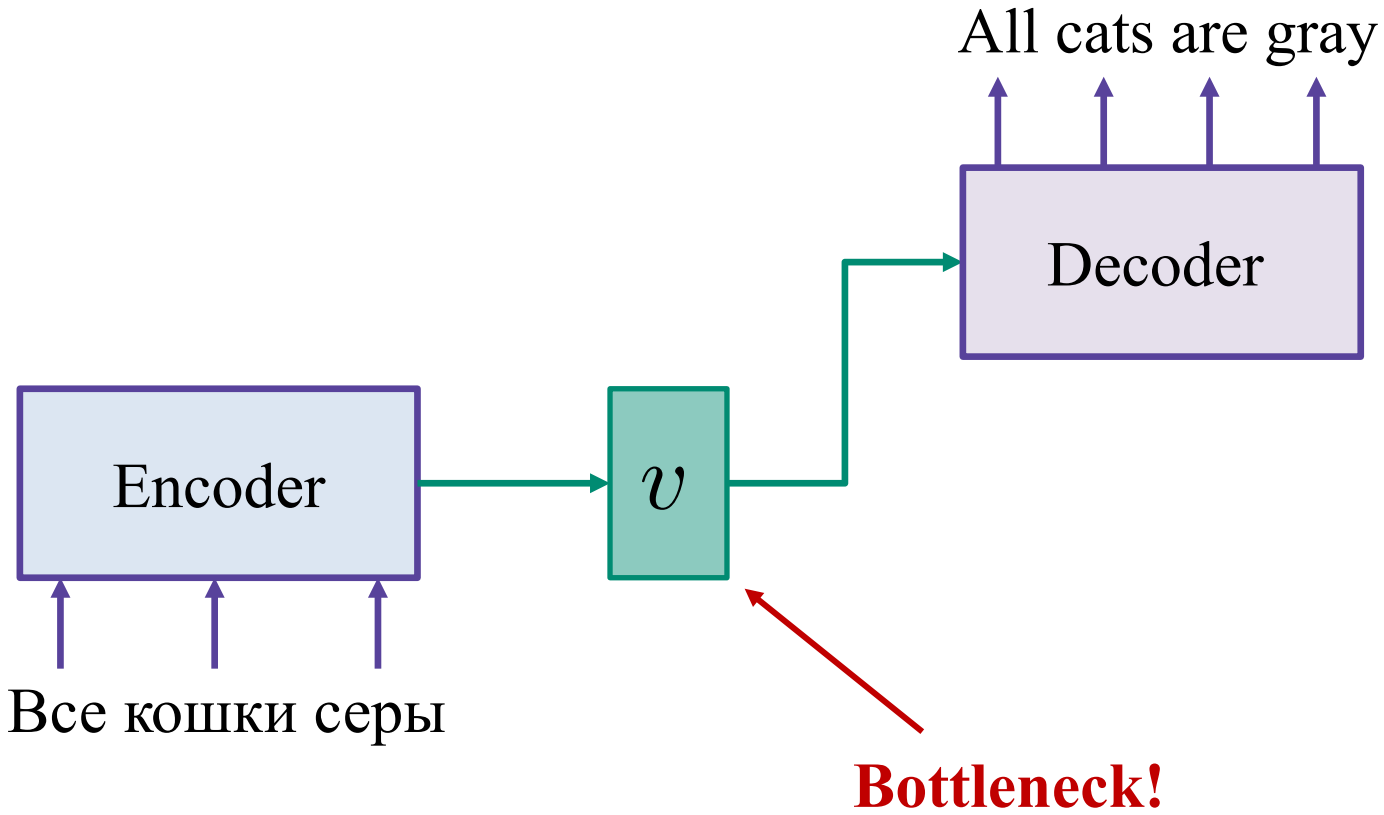
Hidden representations are good...



Ilya Sutskever, Oriol Vinyals, Quoc V. Le. Sequence to Sequence Learning with Neural Network, 2014.

Anna Potapenko (HSE), Anastasia Ianina (MIPT)

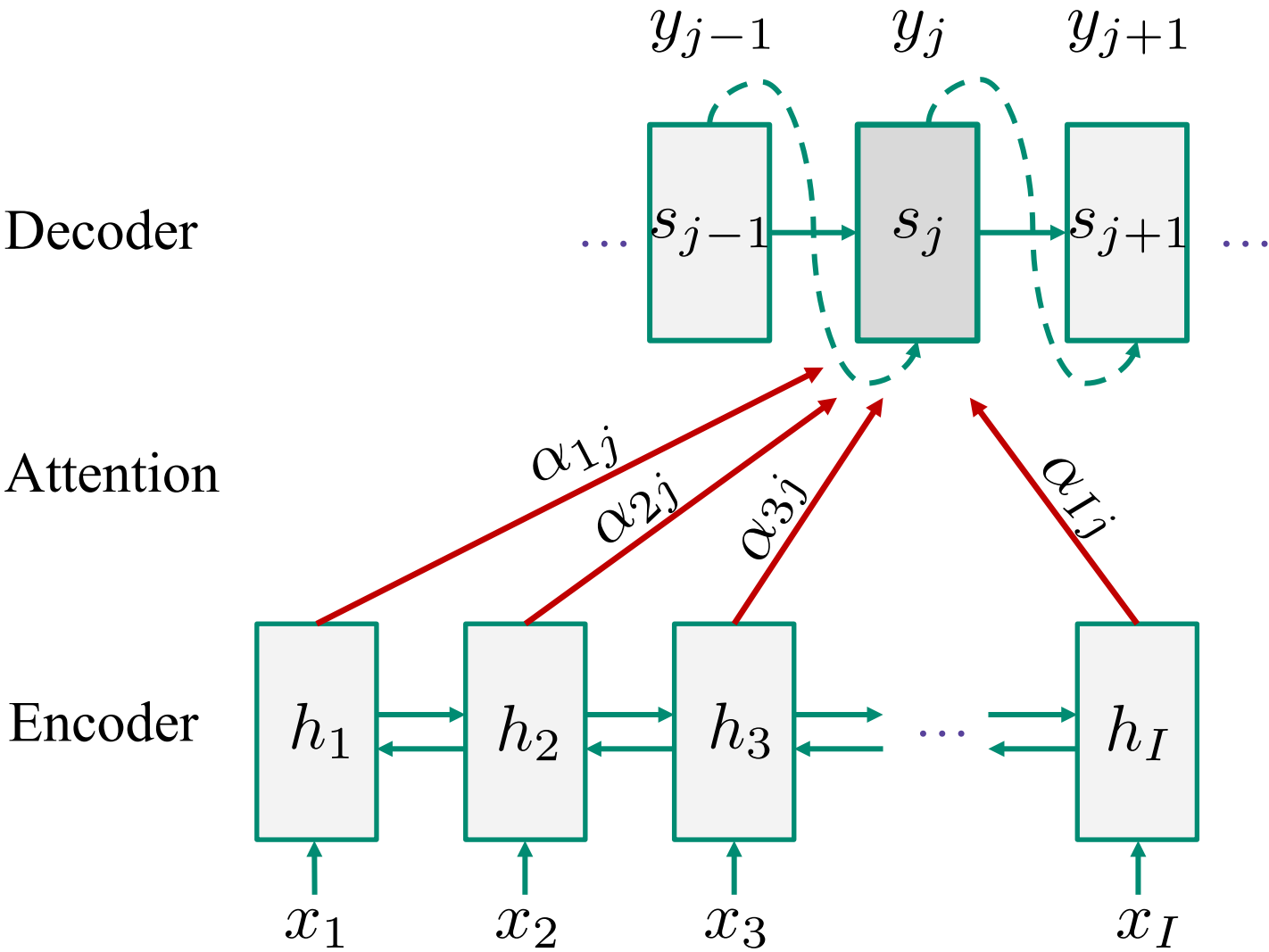
... but still a bottleneck



Attention mechanism

Anna Potapenko (HSE), Anastasia Ianina (MIPT)

Attention mechanism



Bahdanau et. al - Neural Machine Translation by jointly learning to align and translate, 2015.

Anna Potapenko (HSE), Anastasia Ianina (MIPT)

Attention mechanism

- Encoder states are weighted to obtain the representation relevant to the decoder state:

$$v_j = \sum_{i=1}^I \alpha_{ij} h_i$$

- The weights are learnt and should find the most relevant encoder positions:

$$\alpha_{ij} = \frac{\exp(\text{sim}(h_i, s_{j-1}))}{\sum_{i'=1}^I \exp(\text{sim}(h_{i'}, s_{j-1}))}$$

How to compute attention weights?

- **Additive attention:**

$$\text{sim}(h_i, s_j) = w^T \tanh(W_h h_i + W_s s_j)$$

- **Multiplicative attention:**

$$\text{sim}(h_i, s_j) = h_i^T W s_j$$

- **Dot product also works:**

$$\text{sim}(h_i, s_j) = h_i^T s_j$$

Put all together

$$p(y_1, \dots, y_J | x_1, \dots, x_I) = \prod_{j=1}^J p(y_j | v_j, y_1, \dots, y_{j-1})$$

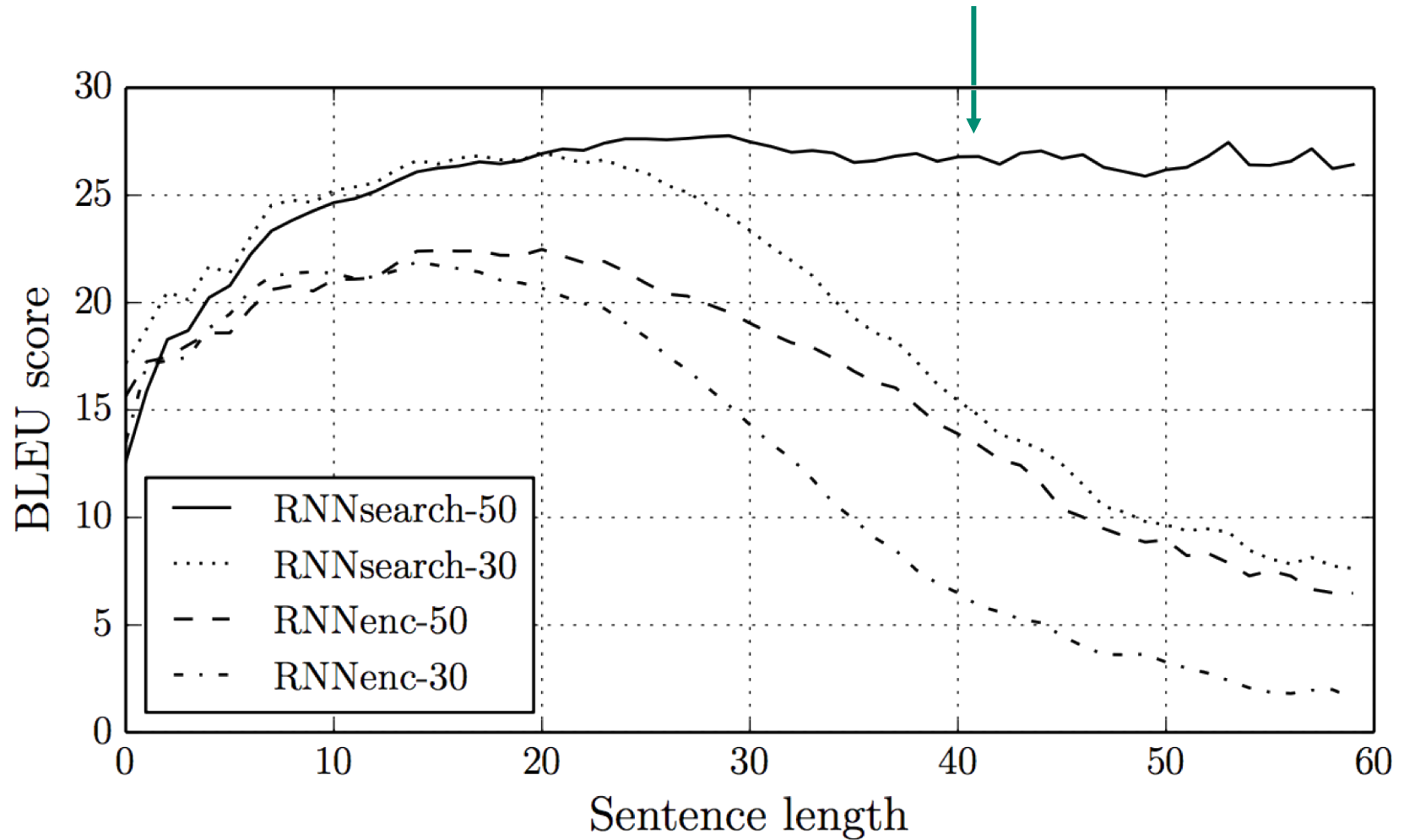
- Still encoder-decoder architecture with RNNs:

$$h_i = f(h_{i-1}, x_i) \quad s_j = g(s_{j-1}, [y_{j-1}, v_j])$$

- But the source representations differ for each position j of the decoder.

Helps for long sentences

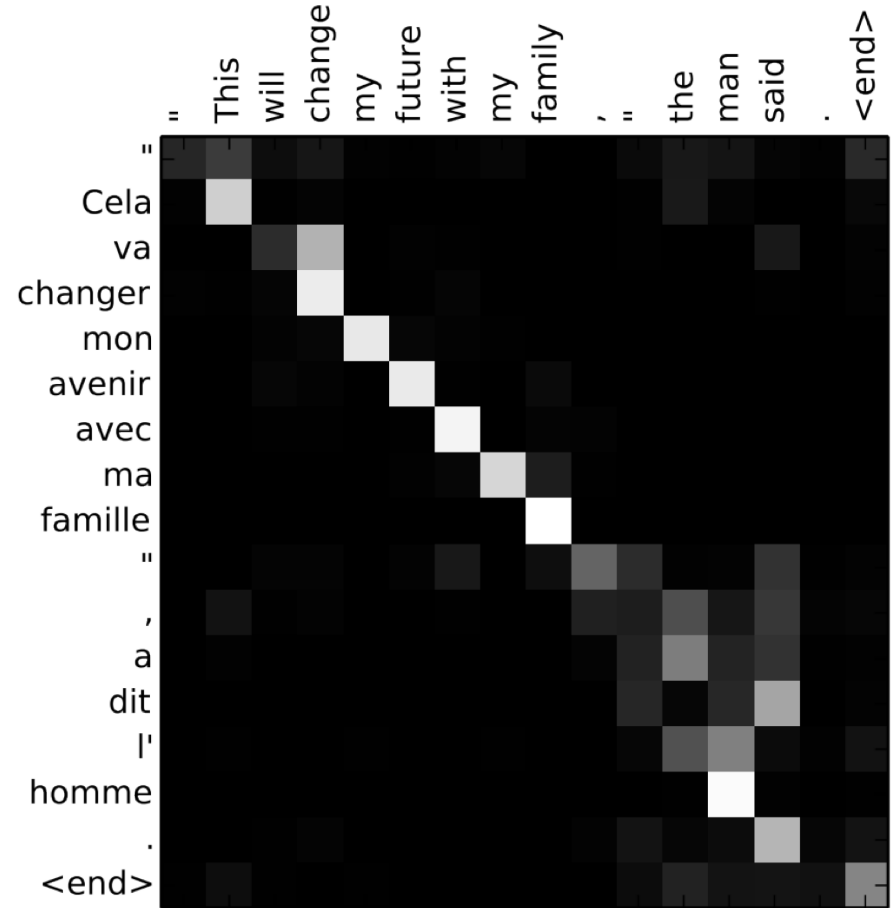
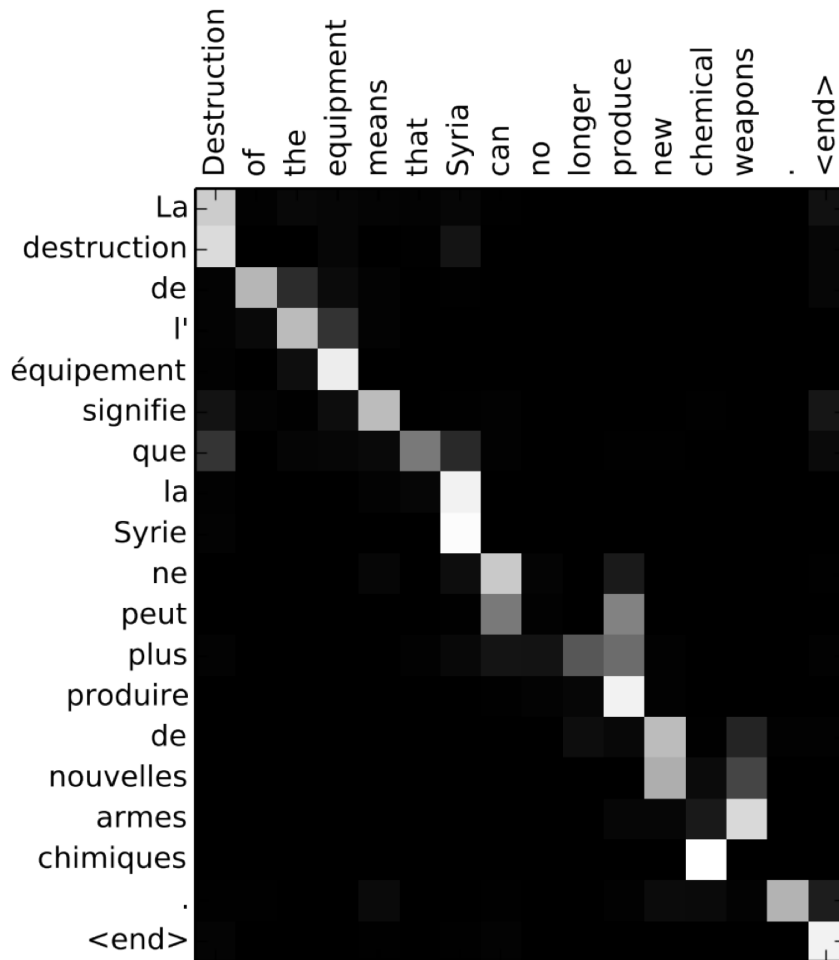
NMT with attention



Bahdanau et. al. Neural Machine Translation by jointly learning to align and translate, 2015.

Anna Potapenko (HSE), Anastasia Ianina (MIPT)

Example: attention (alignments)



Bahdanau et. al. Neural Machine Translation by jointly learning to align and translate, 2015.

Anna Potapenko (HSE), Anastasia Ianina (MIPT)

Is the attention similar to what humans do?

- *For humans:* **saves time**

Attention saves time when reading (i.e. we look only to the relevant parts of the sentence).

- *For machines:* **wastes time**

To compute the attention weights, the model carefully examines ALL the positions, thus wastes even more time.

Local attention

1. Find the most relevant position a_j in the source

- Monotonic alignments: $a_j = j$
- Predictive alignments: $a_j = I \cdot \sigma(b^T \tanh(W s_j))$

2. Attend only positions within a window $[a_j - h; a_j + h]$

- Compute scores as usual
- Probably multiply by a Gaussian centered in a_j

Luong et. al. Effective Approaches to Attention-based Neural Machine Translation, 2015.

Anna Potapenko (HSE), Anastasia Ianina (MIPT)

Global vs local attention

System	Perplexity	BLEU
global (location)	6.4	19.3
global (dot)	6.1	20.5
global (mult)	6.1	19.5
local-m (dot)	>7.0	x
local-m (mult)	6.2	20.4
local-p (dot)	6.6	19.6
local-p (mult)	5.9	20.9

Luong et. al. Effective Approaches to Attention-based Neural Machine Translation, 2015.

Anna Potapenko (HSE), Anastasia Ianina (MIPT)

Global vs local attention

	System	Perplexity	BLEU
$W s_j \rightarrow$	global (location)	6.4	19.3
$h_i^T s_j \rightarrow$	global (dot)	6.1	20.5
$h_i^T W s_j \rightarrow$	global (mult)	6.1	19.5
	local-m (dot)	>7.0	x
	local-m (mult)	6.2	20.4
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Luong et. al. Effective Approaches to Attention-based Neural Machine Translation, 2015.

Anna Potapenko (HSE), Anastasia Ianina (MIPT)

How to deal with a vocabulary?

Outline

- Computing *softmax* for a large vocabulary is slow!
 - Hierarchical softmax
- Even a large vocabulary has *OOV words*:
 - Copy mechanism
 - Sub-word modeling
 - Word-character hybrid models
 - Byte-pair encoding

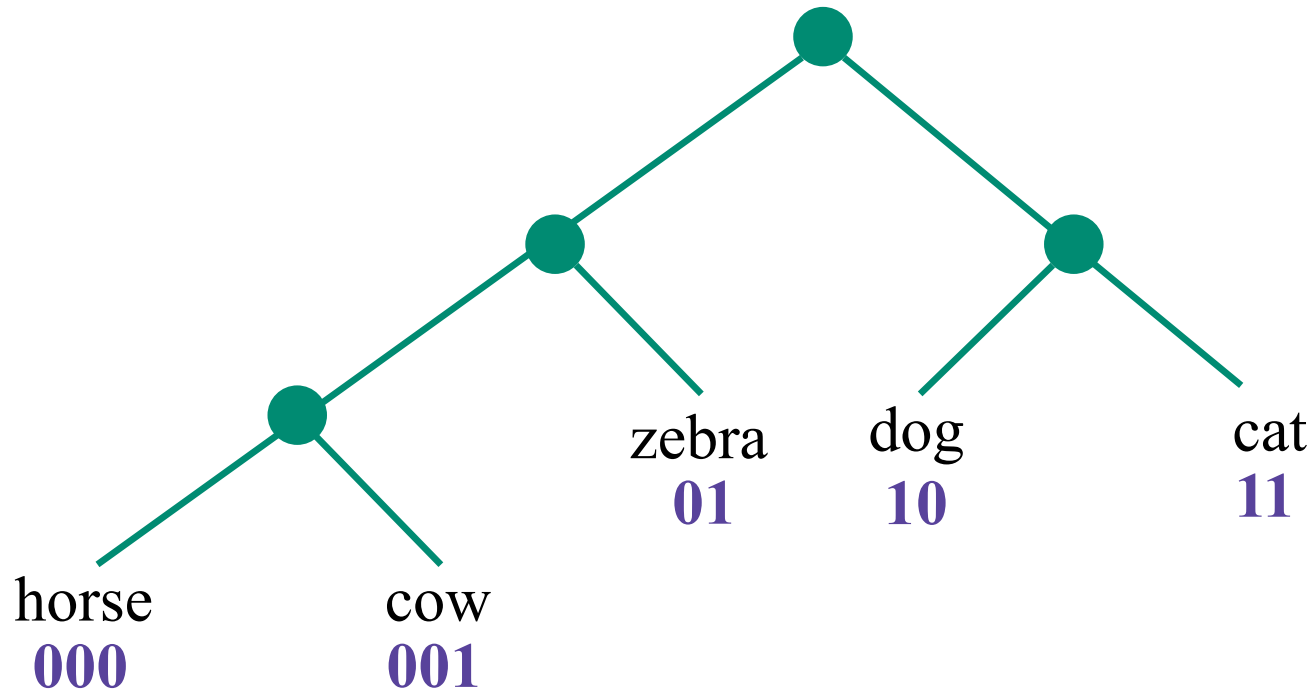
Outline

- Computing *softmax* for a large vocabulary is slow!
 - **Hierarchical softmax**
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Hierarchical softmax

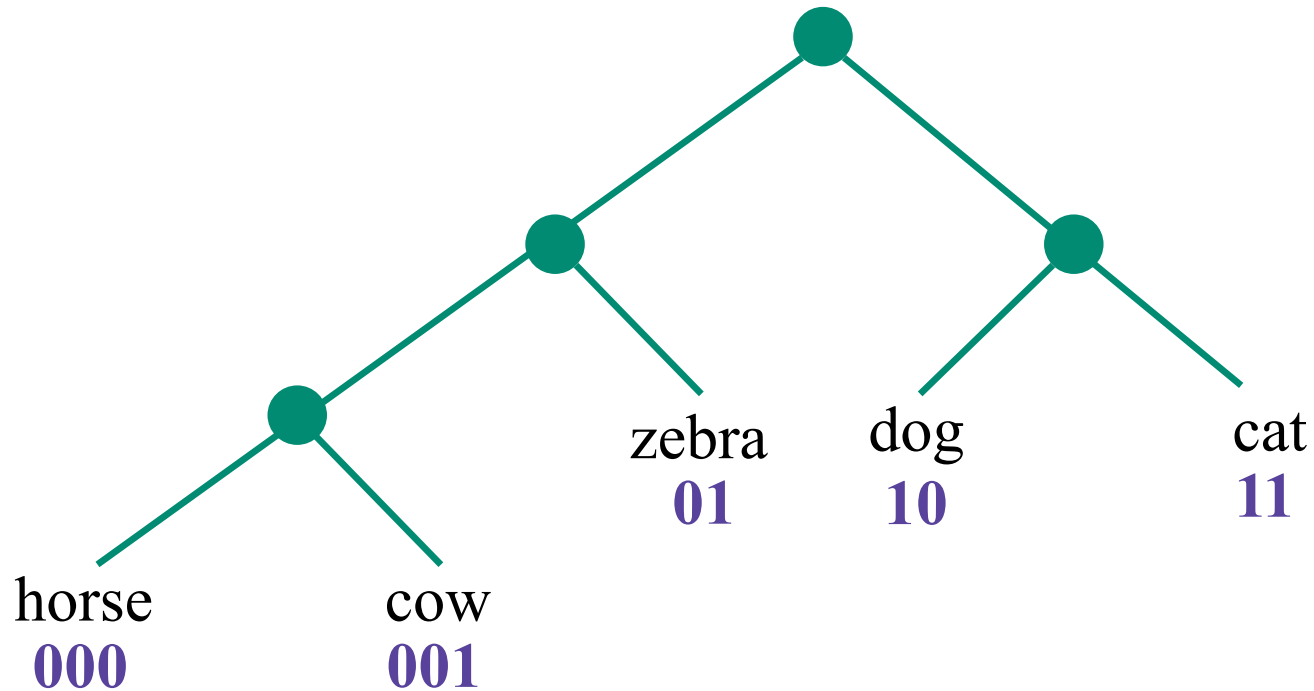
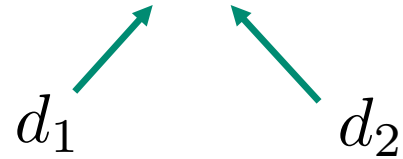
Each word is uniquely represented by a binary code:

- 0 means “go left”, 1 means “go right”



Hierarchical softmax

E.g. for **zebra** the code is $d = (0, 1)$



Scaling softmax

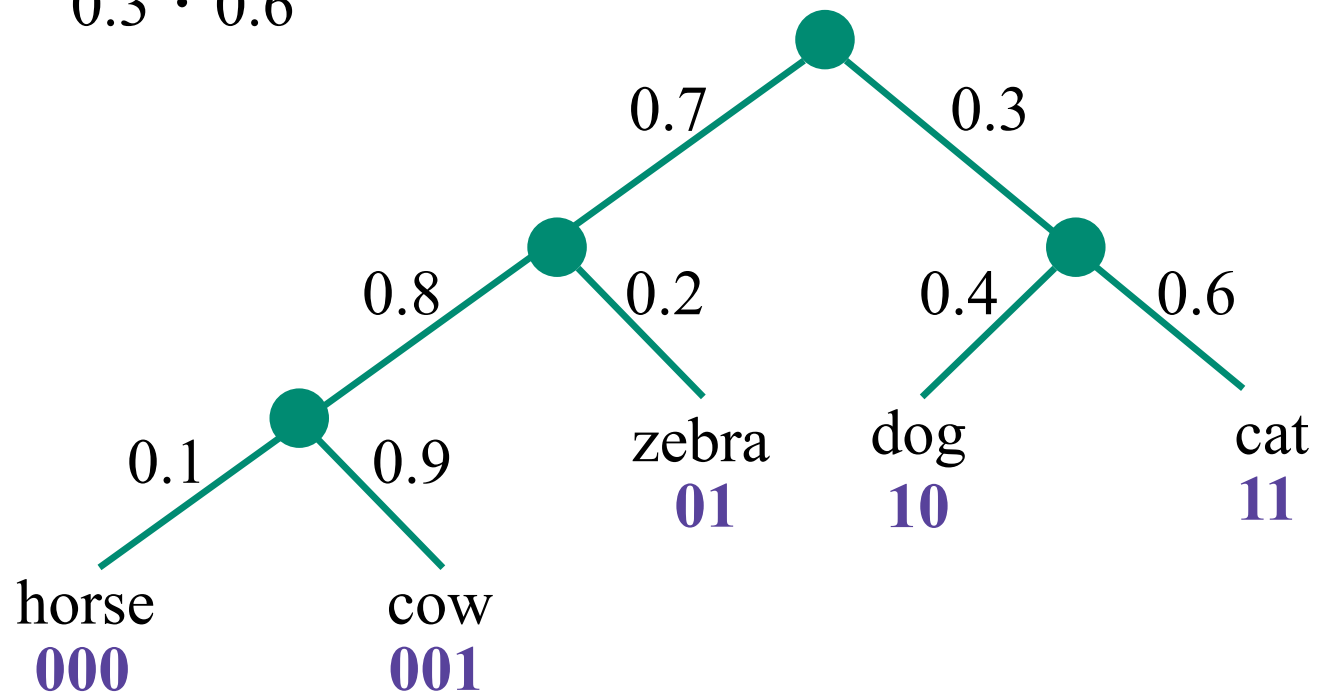
Express the probability of a word (zebra) as a product of probabilities of the binary decisions along the path (d_1, d_2, \dots) .

$$p(w_n = w | w_1^{n-1}) = \prod_i p(d_i | w_1^{n-1})$$

Do you believe that it sums to 1?

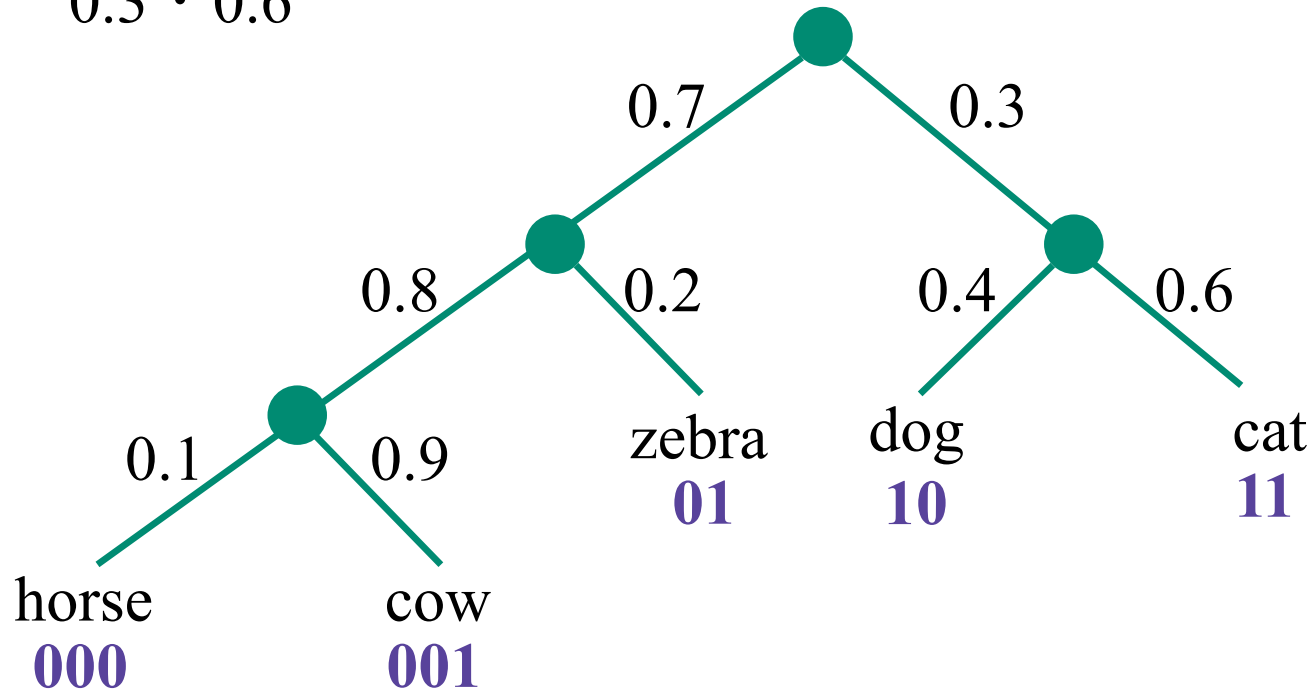
Hierarchical softmax

$$+ \begin{aligned} &0.7 \cdot 0.8 \cdot 0.1 \\ &0.7 \cdot 0.8 \cdot 0.9 \\ &0.7 \cdot 0.2 \\ &0.3 \cdot 0.4 \\ &0.3 \cdot 0.6 \end{aligned}$$



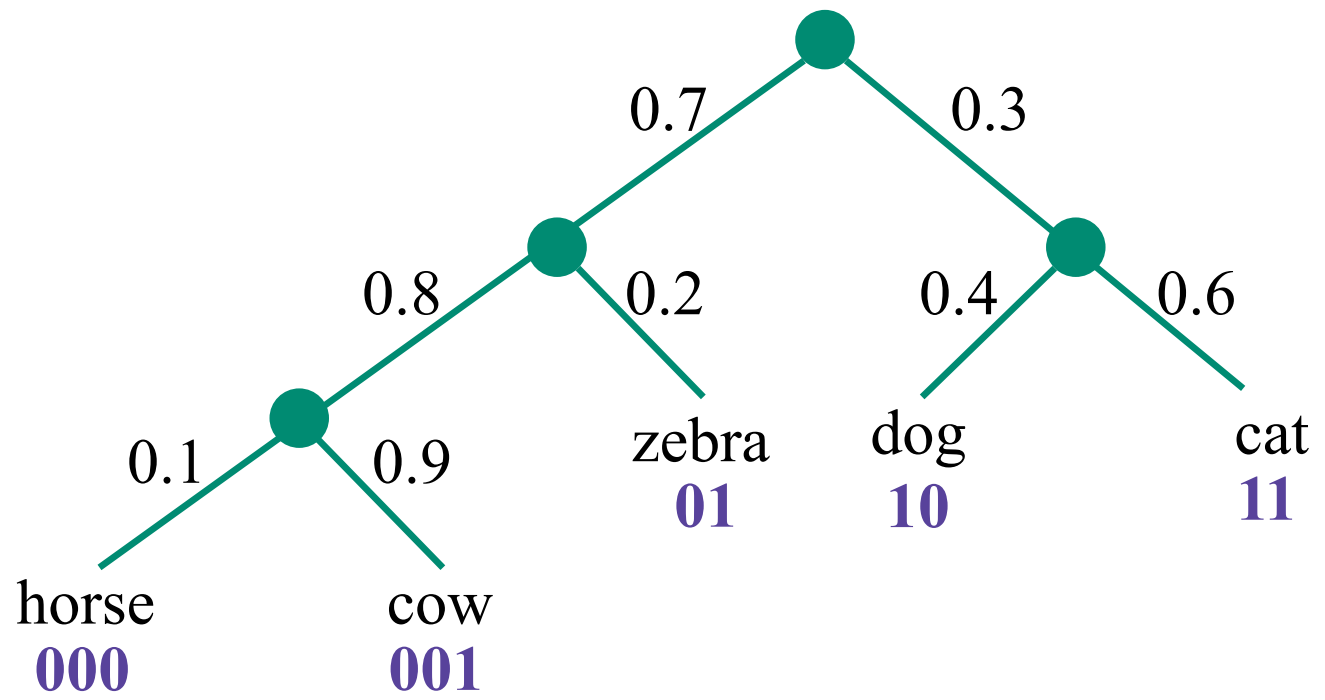
Hierarchical softmax

$$+$$
$$0.7 \cdot 0.8 \cdot 0.1$$
$$0.7 \cdot 0.8 \cdot 0.9$$
$$0.7 \cdot 0.2$$
$$0.3 \cdot 0.4$$
$$0.3 \cdot 0.6$$



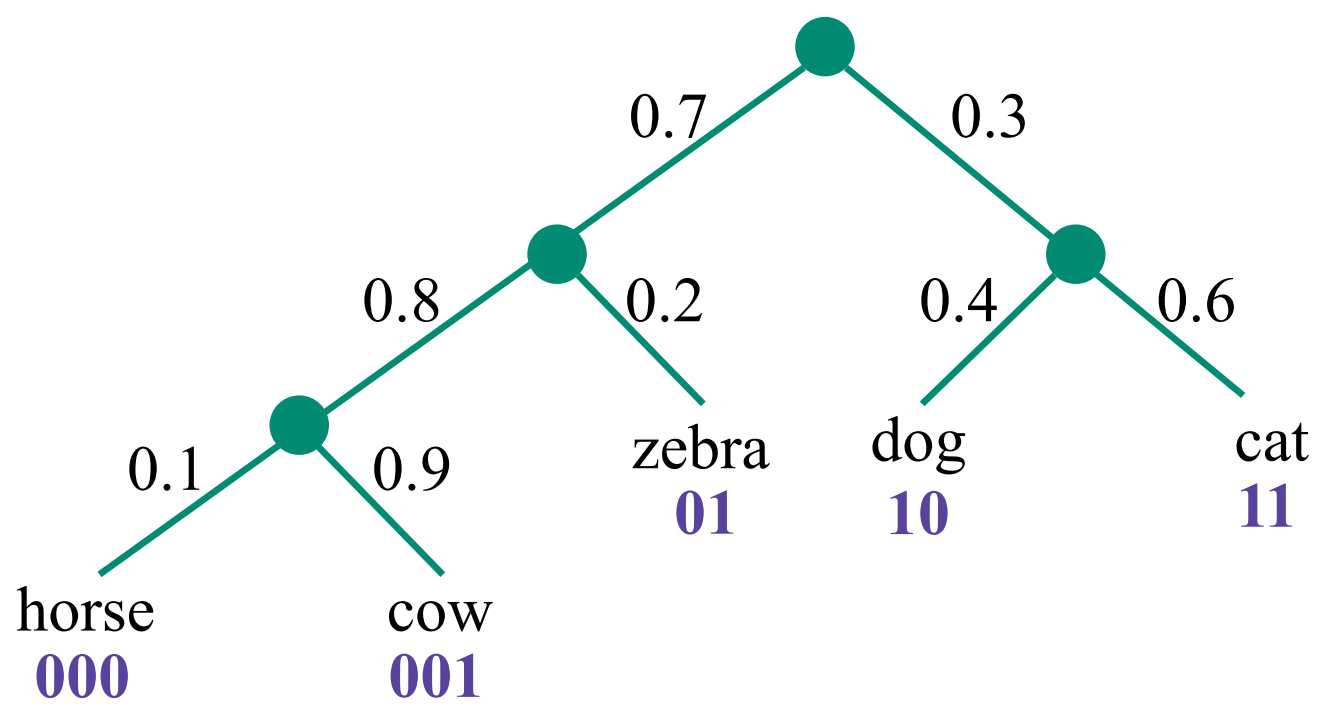
Hierarchical softmax

$$+ \begin{matrix} 0.7 \cdot 0.8 \\ 0.7 \cdot 0.2 \\ 0.3 \cdot 0.4 \\ 0.3 \cdot 0.6 \end{matrix}$$



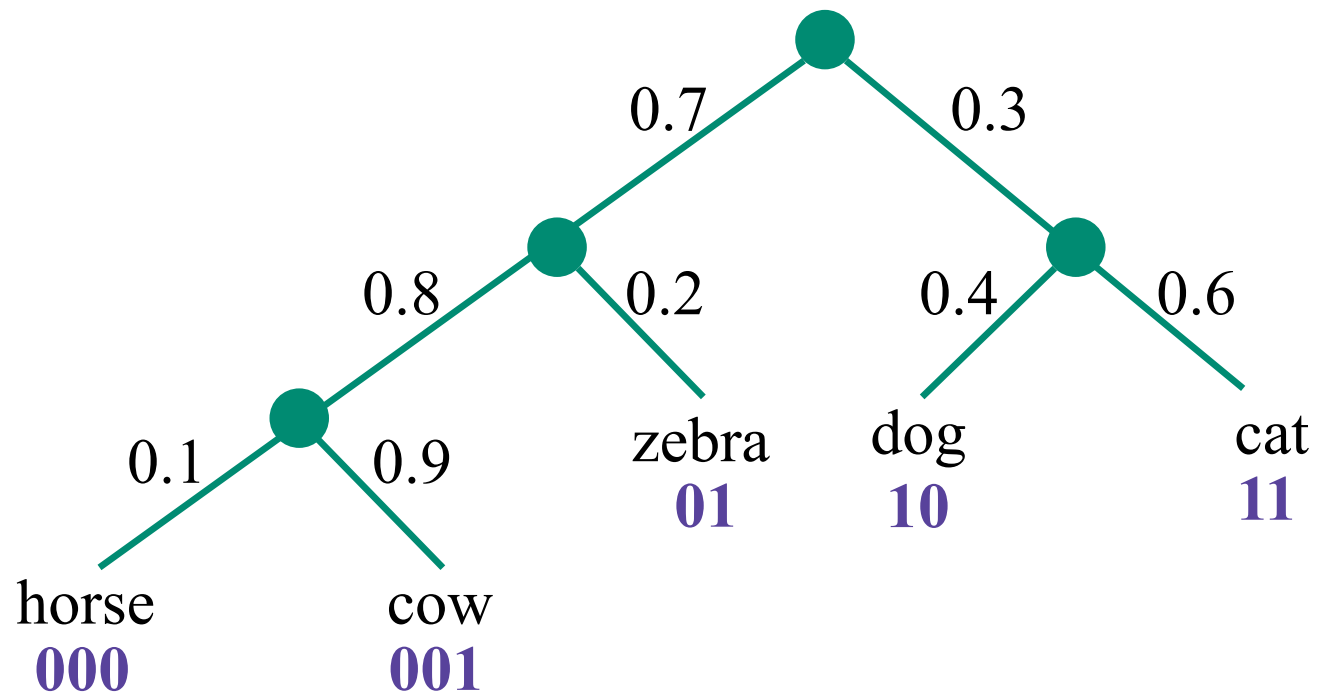
Hierarchical softmax

$$+$$
$$0.7 \cdot 0.8$$
$$0.7 \cdot 0.2$$
$$0.3 \cdot 0.4$$
$$0.3 \cdot 0.6$$



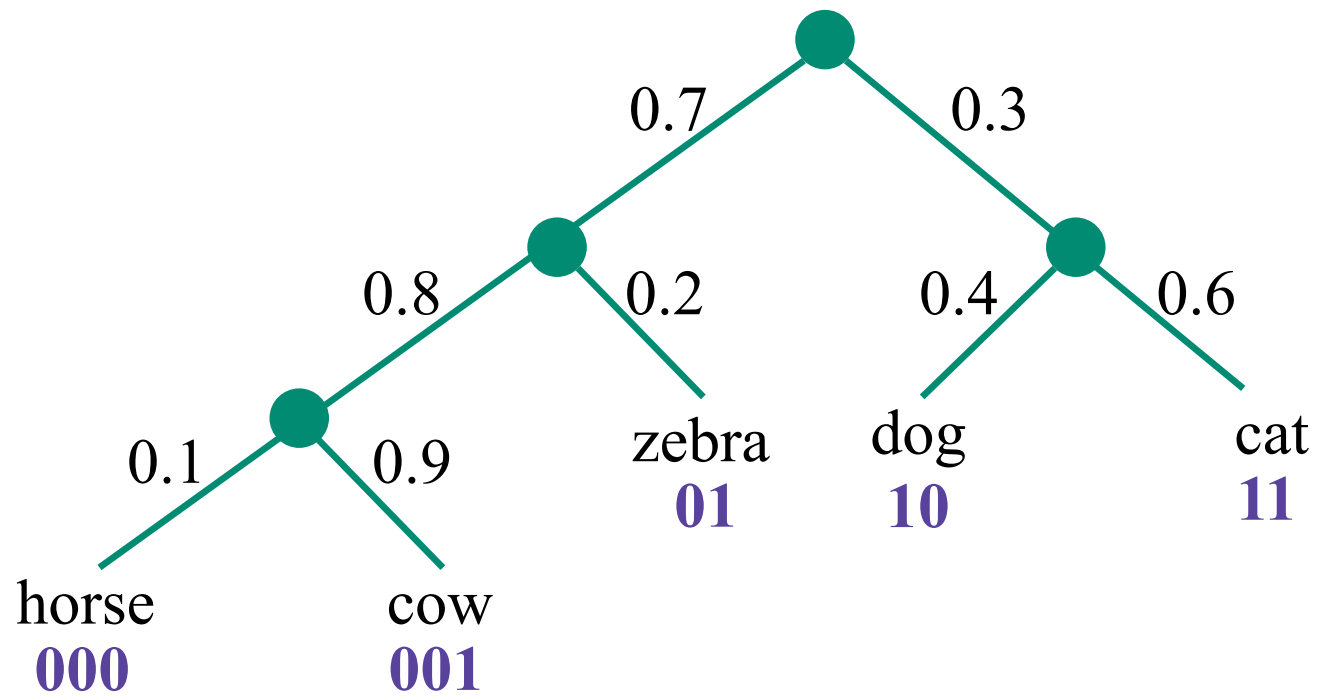
Hierarchical softmax

$$+ \begin{matrix} 0.7 \\ 0.3 \cdot 0.4 \\ 0.3 \cdot 0.6 \end{matrix}$$

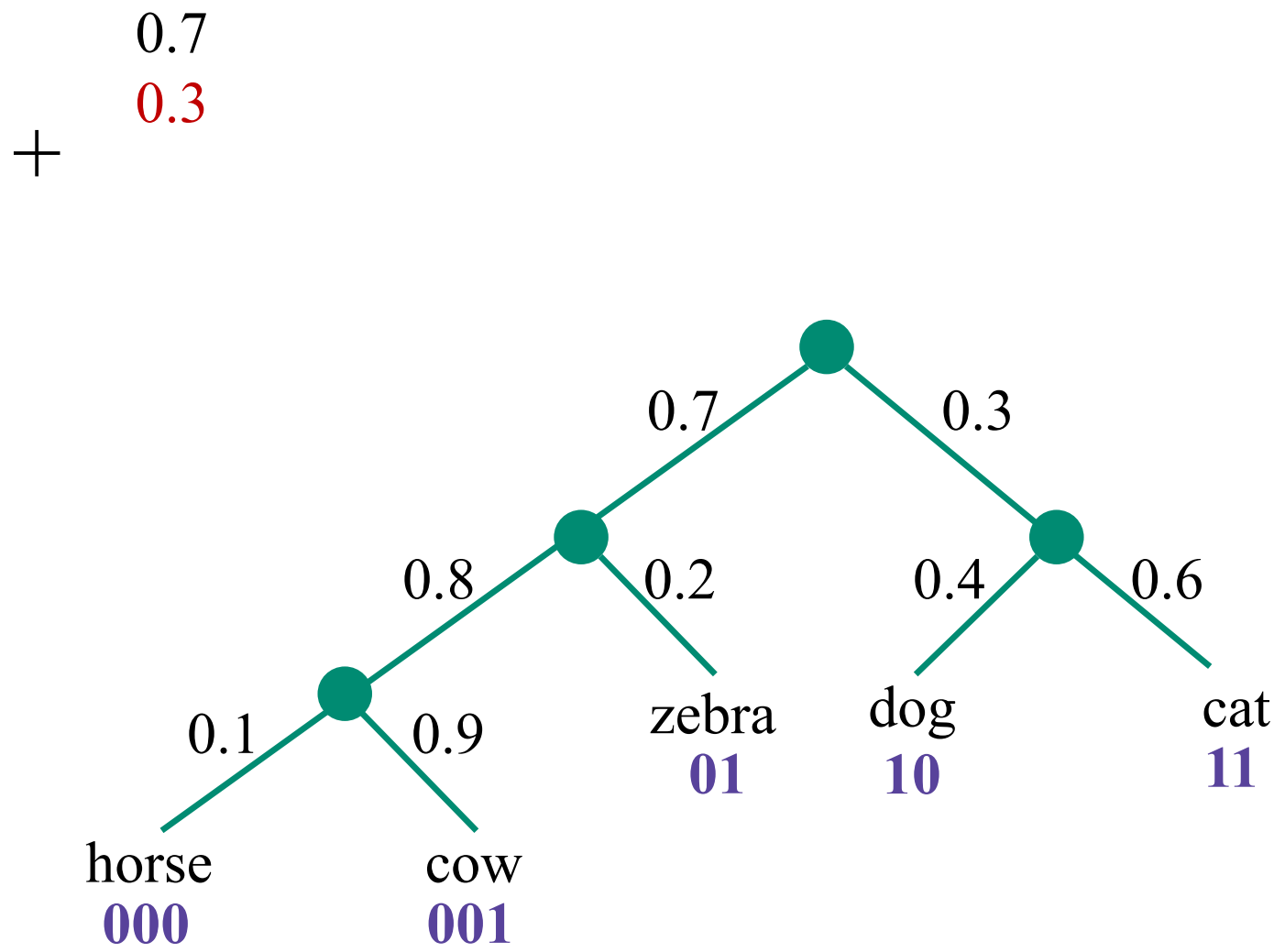


Hierarchical softmax

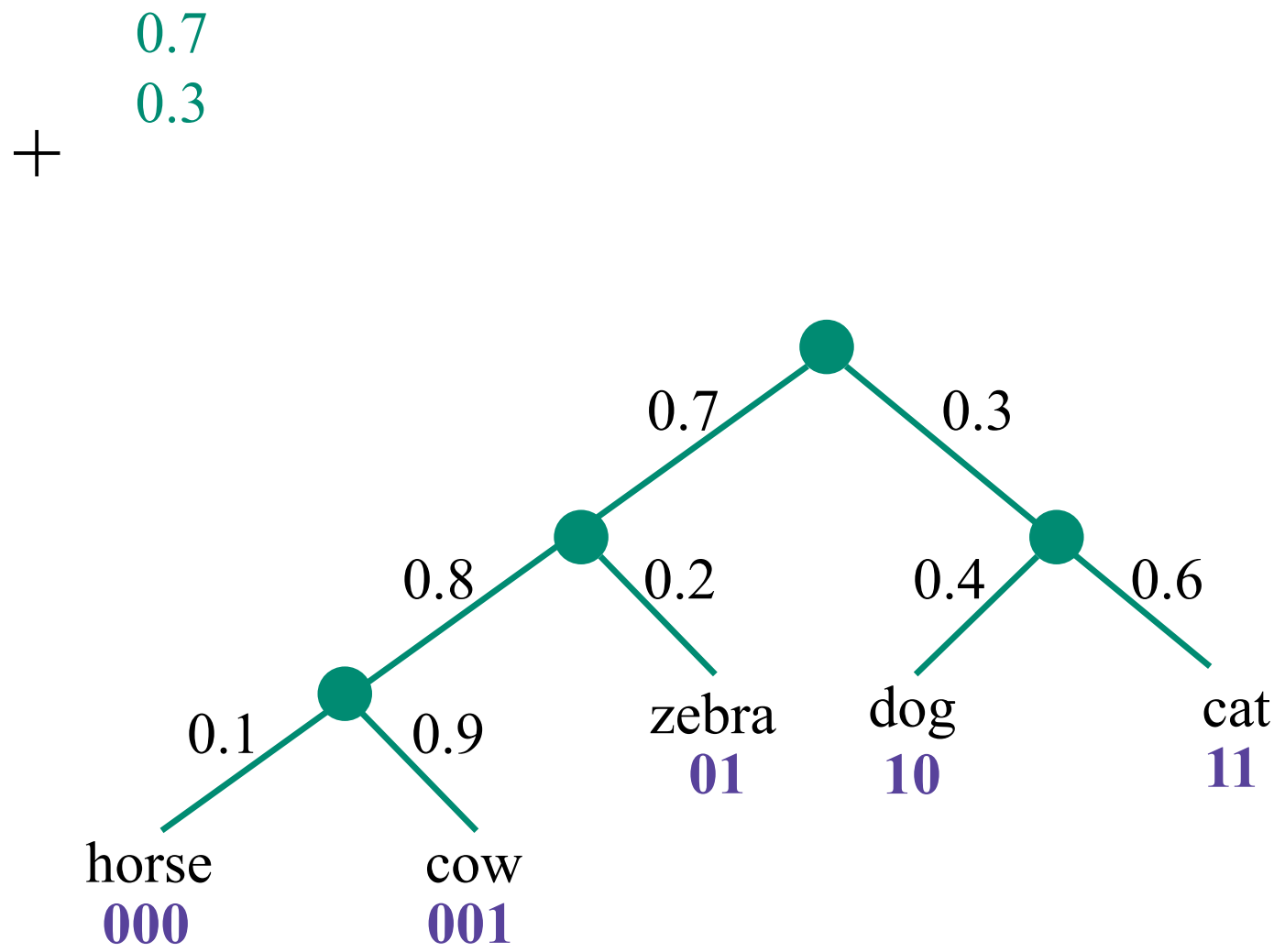
$$+ \begin{matrix} 0.7 \\ 0.3 \cdot 0.4 \\ 0.3 \cdot 0.6 \end{matrix}$$



Hierarchical softmax



Hierarchical softmax

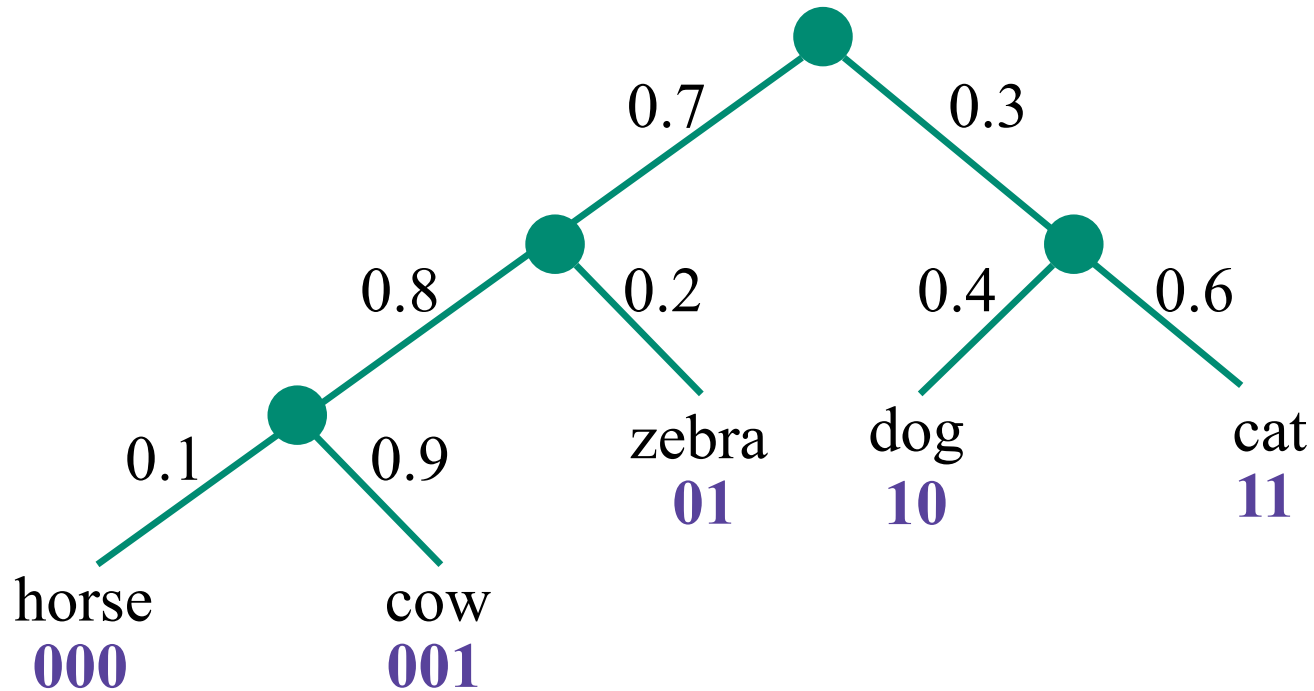


Hierarchical softmax

1.0

+

Congratulations!



Hierarchical softmax

Model binary decisions along the path in the tree:

$$p(w_n = w | w_1^{n-1}) = \prod_i p(d_i | w_1^{n-1})$$

How to construct a tree (balanced vs. semantic):

- Based on some pre-built ontology
- Based on semantic clustering from data
- Huffman tree
- Random

Outline

- Computing *softmax* for a large vocabulary is slow!
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- Even a large vocabulary has *OOV words*:
 - **Copy mechanism**
 - Sub-word modeling
 - Word-character hybrid models
 - Byte-pair encoding

Copy mechanism

- Scaling *softmax* is insufficient!
- What do we do with OOV words?
 - Names, numbers, rare words...

The *ecotax* portico *Pont-de-Buis*
The UNK portico in UNK

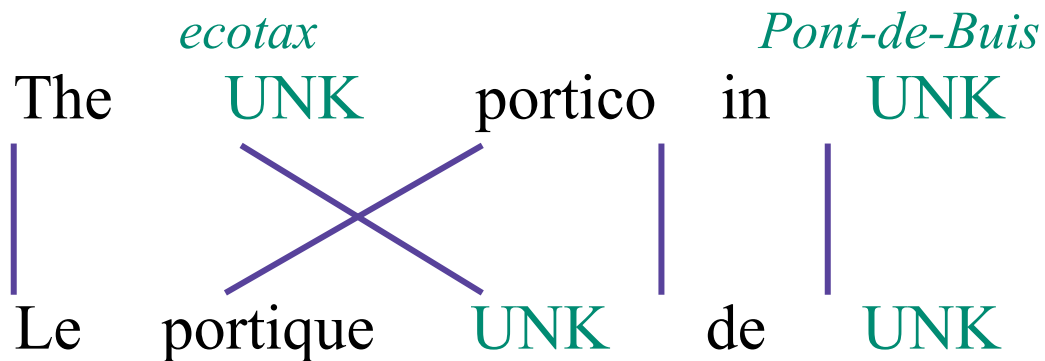
Copy mechanism

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	<i>ecotax</i>		<i>Pont-de-Buis</i>
The	UNK	portico	in UNK
Le	portique	UNK	de UNK

Copy mechanism

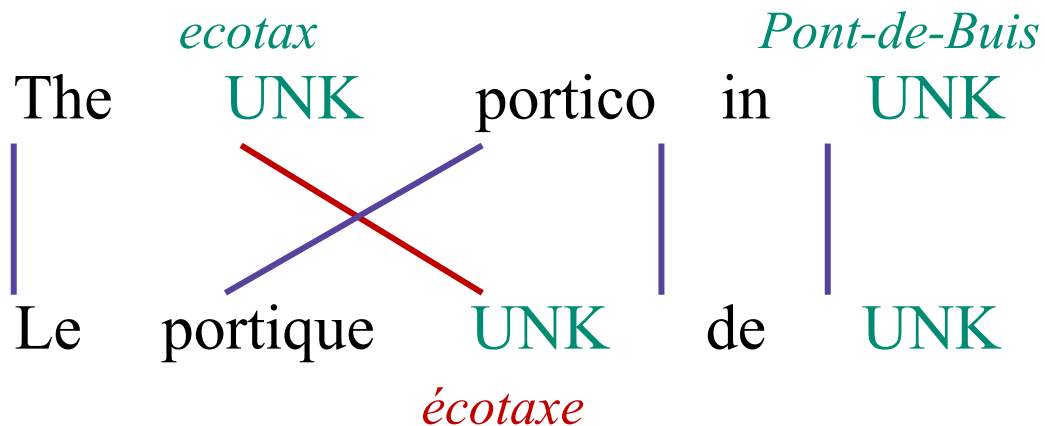
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Copy mechanism

- Scaling *softmax* is insufficient!
- What do we do with OOV words?
 - Names, numbers, rare words...

Look-up in a dictionary



Copy mechanism

Algorithm:

- Provide word alignments in train time
- Learn relative positions for UNK tokens with NMT
- Post-process the translation:
 - Copy the source word
 - Look up in a dictionary

Simple, but super useful technique!

Towards open vocabulary

Still problems:

- Transliteration: Christopher ↦ Kryštof
- Multi-word alignment: Solar system ↦ Sonnensystem
- Rich morphology: nejneobhospodařovatelnějším
- Informal spelling: goooooood morning !!!!!

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Character-based models

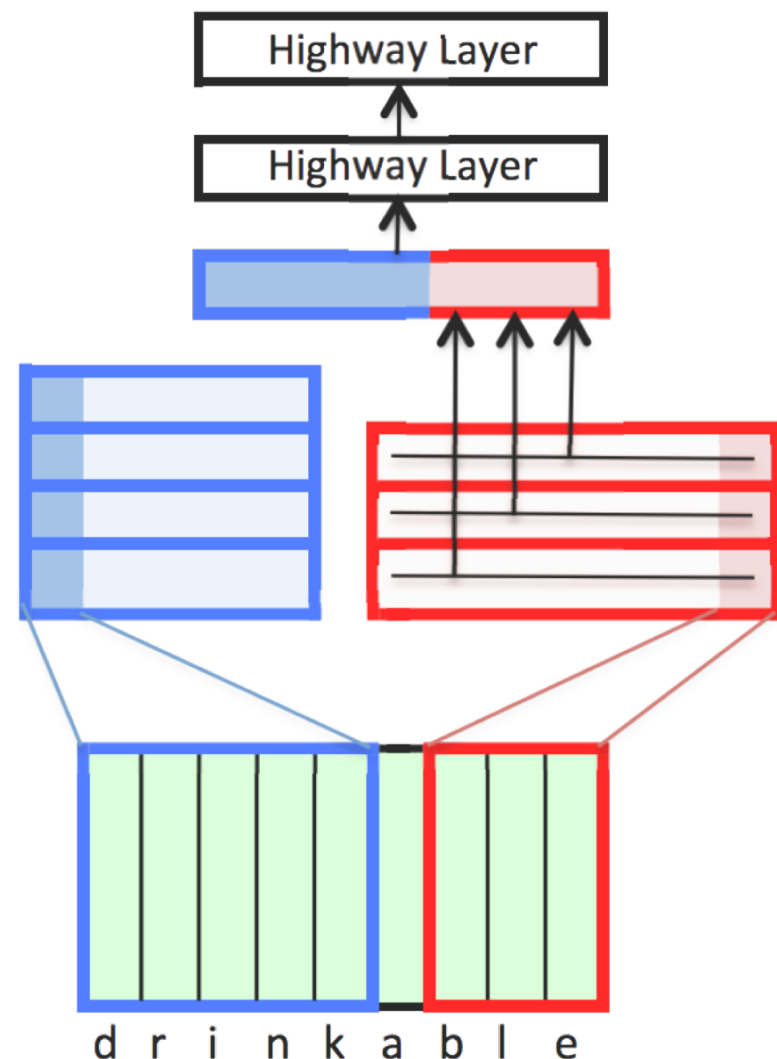
Character-based encoder is good for source languages with rich morphology!

- Bi-LSTMs to build word embeddings from characters
- CNNs on characters

Ling, et. al. Finding Function in Form: Compositional Character Models for Open Vocabulary Word Representation. EMNLP 2015.

Kim, et. al. Character-Aware Neural Language Models. AAAI 2016.

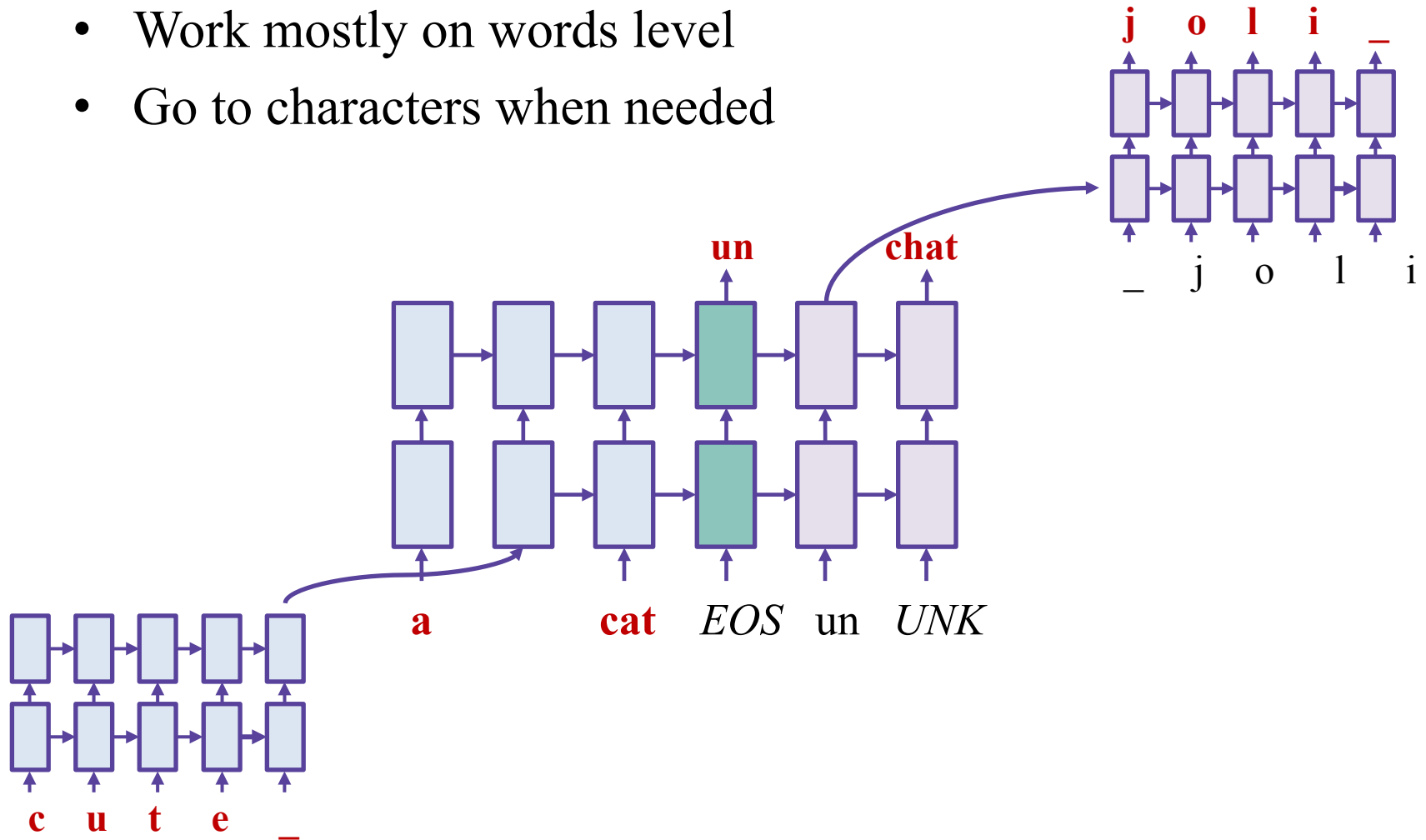
Marta R. Costa-jussà and José A. R. Fonollosa. Character-based Neural Machine Translation. ACL 2016.



Anna Potapenko (HSE), Anastasia Ianina (MIPT)

Hybrid models: the best of two worlds

- Work mostly on words level
- Go to characters when needed



Thang Luong and Chris Manning. Achieving Open Vocabulary Neural Machine Translation with Hybrid Word-Character Models. ACL 2016.

Anna Potapenko (HSE), Anastasia Ianina (MIPT)

Outline

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 - **Byte-pair encoding**

Byte-pair encoding

- Simple way to handle open vocabulary:
 - Start with characters
 - Iteratively replace the most frequent pair with one unit

Byte-pair encoding

- Simple way to handle open vocabulary:
 - Start with characters
 - Iteratively replace the most frequent pair with one unit

She sells seashells by the seashore

Byte-pair encoding

- Simple way to handle open vocabulary:
 - Start with characters
 - Iteratively replace the most frequent pair with one unit

`S h e _ s e l l s _ s e a s h e l l s _ b y _ t h e _ s e a s h o r e _`

**Compute how many times we see
each character bAIgram**

Byte-pair encoding

- Simple way to handle open vocabulary:
 - Start with characters
 - Iteratively replace the most frequent pair with one unit

S **h** **e** **_** **s** **e** **l** **l** **s** **_** **s** **e** **a** **s** **h** **e** **l** **l** **s** **_** **b** **y** **_** **t** **h** **e** **_** **s** **e** **a** **s** **h** **o** **r** **e** **_**

Byte-pair encoding

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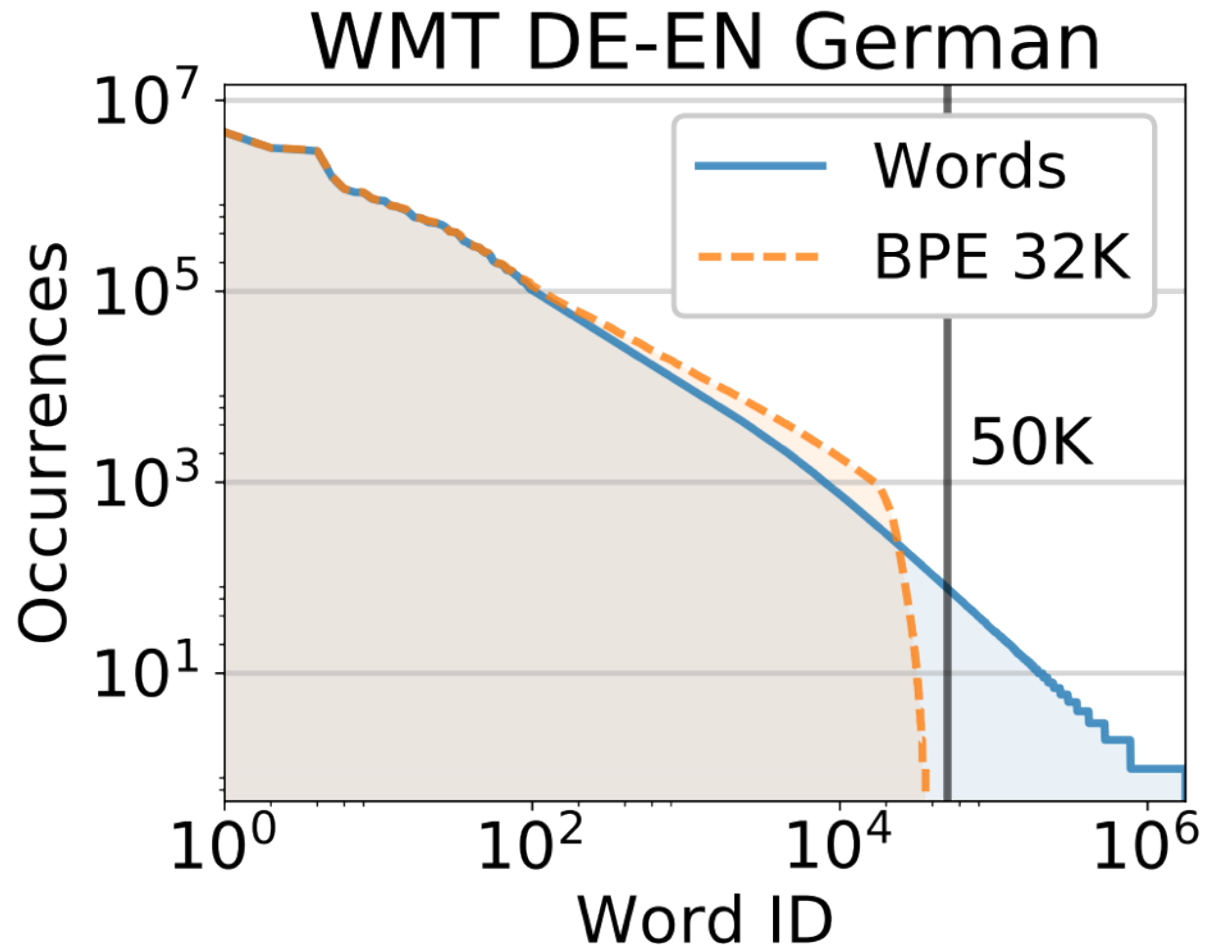
Byte-pair encoding

- Simple way to handle open vocabulary:
 - Start with characters
 - Iteratively replace the most frequent pair with one unit

Sh e _ se ll s _ sea sh e ll s _ b y _ t h e _ sea sh o r e _

- End whenever you reach the vocabulary size limit
- Stick to that vocabulary of sub-word units
- Apply the same algorithm to test sentences

Why is it so useful?



Denkowski, Neubig. Stronger Baselines for Trustable Results in Neural Machine Translation, 2017.

Anna Potapenko (HSE), Anastasia Ianina (MIPT)

BLEU score comparison

	WMT			IWSLT	
	DE-EN	EN-FI	RO-EN	EN-FR	CS-EN
Words 50K	31.6	12.6	27.1	33.6	21.0
BPE 32K	33.5	14.7	27.8	34.5	22.6
BPE 16K	33.1	14.7	27.8	34.8	23.0

- Byte-pair encoding improves BLEU score
- It is a nice and simple way to handle the vocabulary
- Very common trick in modern NMT

Denkowski, Neubig. Stronger Baselines for Trustable Results in Neural Machine Translation, 2017.

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